

*101/11*  
T-D  
**PATENT**

Case Docket No. KOBAY17.001AUS  
Date: May 30, 2002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Matsushita, et al.

App. No. : 10/062,189

Filed : January 30, 2002

For : PUMP LIGHT SOURCE  
DEVICE FOR OPTICAL  
RAMAN AMPLIFICATION  
AND OPTICAL RAMAN  
AMPLIFICATION SYSTEM  
USING THE SAME

Group Art Unit : 2874



I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on

May 30, 2002

Mark M. Abumeri, Reg. No. 43,458

*COPY OF PAPERS  
ORIGINALLY FILED*

**TRANSMITTAL LETTER**

**United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202**

**ATTENTION: BOX MISSING PARTS**

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on April 2, 2002, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) Eleven (11) sheets of drawings in compliance with 37 CFR 1.84.
- (X) A Notice to File Missing Parts.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

PATENT

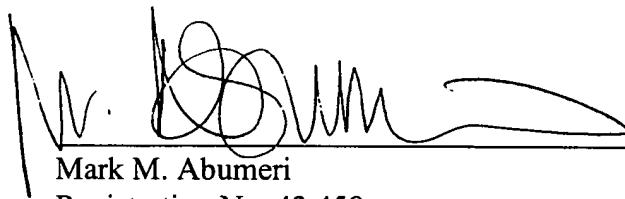
Case Docket No. KOBAY17.001AUS  
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FILING FEE	\$ 740
ADDITIONAL CLAIM FEE FOR 2 INDEPENDENT CLAIMS OVER 3	\$ +168
MULTIPLE DEPENDENT CLAIM SURCHARGE	\$ +280
SURCHARGE 37 CFR 1.16(e)	\$ + 130
TOTAL FEES SUBMITTED HEREWITH	\$ 1318

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(X) A check in the amount of \$1318.00 to cover the above fees is enclosed.  
(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.



Mark M. Abumeri  
Registration No. 43,458  
Attorney of Record



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/062,189	01/30/2002	Shunichi Matsushita	KOBAY17.001AUS

20995  
 KNOBBE MARTENS OLSON & BEAR LLP  
 620 NEWPORT CENTER DRIVE  
 SIXTEENTH FLOOR  
 NEWPORT BEACH, CA 92660



CONFIRMATION NO. 9401

## FORMALITIES LETTER



\*OC000000007766775\*

Date Mailed: 04/02/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/07/2002 BABRAHA1 00000028 10062189

01 FC:1.01	740.00 DP
02 FC:1.05	130.00 DP
03 FC:1.02	168.00 DP
04 FC:1.04	280.00 DP

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$592.
  - \$144 for 8 total claims over 20.
  - \$168 for 2 independent claims over 3 .
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1462.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

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06/26/2002 NTENKEM 00000003 11062189  
01 FC:103

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*A copy of this notice **MUST** be returned with the reply.*

*M. Marcell*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2025 RELEASE UNDER E.O. 14176